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CONFIRMATION NO. 9758

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10/697,851	10/30/2003 RULE	705	3691	30894-101

APPLICANTS

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** CONTINUING DATA *****

This appn claims benefit of 60/422,408 10/30/2002

** FOREIGN APPLICATIONS *****

** IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY **
 01/30/2004

Foreign Priority claimed	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	35 USC 119(a-d) conditions met	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	STATE OR COUNTRY	SHEETS DRAWINGS	TOTAL CLAIMS	INDEPENDENT CLAIMS
Verified and	/BIJENDRA K SHRESTHA/ Examiner's Signature		<input type="checkbox"/> Met after Allowance Initials	CT	5	20	6
Acknowledged							

ADDRESS

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TITLE

Price improvement processor for electronic trading of financial instruments

FILING FEE RECEIVED 514	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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